# Evaluating and Enhancing Reasoning Capabilities of LMs

Guest Lecture: Vasudha Varadarajan

Slide credits: Yash Kumar Lal, Andrew Schwartz, and Vasudha Varadarajan

# NLP, The Course

#### **Overall NLP Concept**

I. Syntax

Introduction to NLP; Tokenization; Words Corpora

One-hot, and Multi-hot encoding. Parts-of-Speech; Named Entities;

Parsing; Verbal Predicates; Dependency Parsing

#### **II. Semantics**

Dependency Parsing; Word Sense Disambiguation

Vector Semantics (Embeddings), Word2vec

Probabilistic Language Models Ngram Classifier, Topic Modeling

#### **Overall NLP Concept**

III. Language Modeling

**Ethical Considerations** 

Masked Language Modeling (autoencoding)

Generative Language Modeling (autoregressive)

Applying LMs

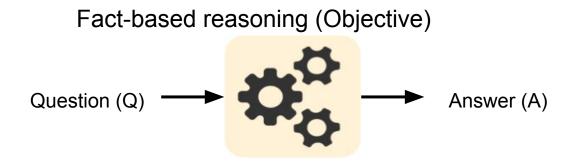
#### **IV.** Applications

Language and Psychology (advanced sentiment)

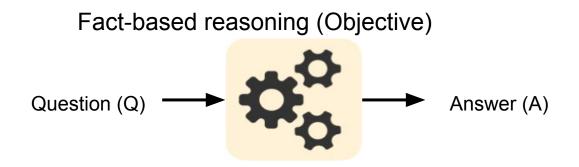
Speech and Audio Processing, Dialog (chatbots)

Reasoning Capabilities of LLMs

#### **Reasoning Capabilities of LLMs**



### **Reasoning Capabilities of LLMs**

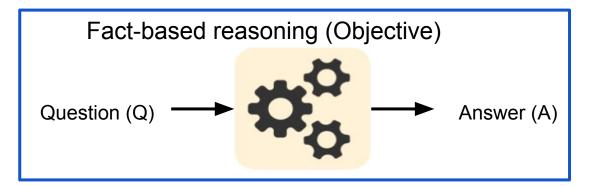


Cognition and Theory-of-Mind (Subjective)



Why did this person *behave* or *think* this way?

### **Reasoning Capabilities of LLMs**



Cognition and Theory-of-Mind (Subjective)



Why did this person *behave* or *think* this way?

#### Social-intelligence (Subjective)



### 1. Question Answering



#### Information

Documents (corpus)

Document

Knowledge Base

Other modalities of data (image, video...)

#### Question

Factoid vs non-factoid Open vs closed domain Simple vs multi-step

#### Answer

Single fact Explanation Document Extracted span Image or other object





The goal of question answering is to build systems that automatically answer questions posed by humans in a natural language



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Who is the first person to go to Mariana Trench?

The first person to go to the Mariana Trench was the American oceanographer and adventurer Don Walsh, who descended to its deepest point, the Challenger Deep, in 1960.



The goal of question answering is to build systems that automatically answer questions posed by humans in a natural language

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Q: From a user's perspective, are you happy with the answer?



The goal of question answering is to build systems that automatically answer questions posed by humans in a natural language

#### GPT-4 visual input example, Extreme Ironing:

User What is unusual about this image?



Source: https://www.barnorama.com/wp-content/uploads/2016/12/03-Confusing-Pictures.jpg

GPT-4 The unusual thing about this image is that a man is ironing clothes on an ironing board attached to the roof of a moving taxi.



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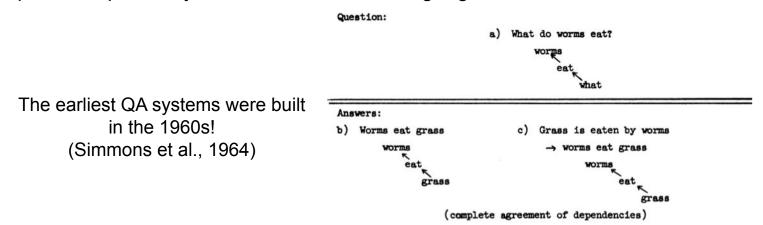
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### **Real-World Applications Everywhere!**





#### Siberia

Lake **Baikal**, in Siberia, holds the distinction of being both the deepest lake in the world and the largest freshwater lake, holding more than 20% of the unfrozen fresh water on the surface of Earth.

#### **Real-World Applications Everywhere!**

Google	How can I protect myself from COVID-19?			
	Q All 🖾 Images 🕮 News ⊘ Shopping 🕩 Videos ∷ More Settings Tools			
	The best way to prevent illness is to avoid being exposed to this virus. Learn how COVID-19 spreads and practice these actions to help prevent the spread of this illness.			
	<ul> <li>To help prevent the spread of COVID-19:</li> <li>Cover your mouth and nose with a mask when around people who don't live with you. Masks work best when everyone wears one.</li> <li>Stay at least 6 feet (about 2 arm lengths) from others.</li> <li>Avoid crowds. The more people you are in contact with, the more likely you are to be exposed to COVID-19.</li> </ul>			
	<ul> <li>Avoid unventilated indoor spaces. If indoors, bring in fresh air by opening windows and doors.</li> <li>Clean your hands often, either with soap and water for 20 seconds or a hand sanitizer that contains at least 60% alcohol.</li> <li>Get vaccinated against COVID-19 when it's your turn.</li> </ul>			
	<ul><li>Avoid close contact with people who are sick.</li><li>Cover your cough or sneeze with a tissue, then throw the tissue in the trash.</li></ul>			
	Clean and disinfect frequently touched objects and surfaces daily.			
	For informational purposes only. Consult your local medical authority for advice.			

#### Areas in Question Answering

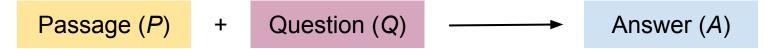
Reading Comprehension	<ul> <li>Answer based on a document</li> <li>Context is a one (or more) document(s)</li> </ul>	
Open-Domain QA	<ul> <li>Answer based on encyclopedic knowledge</li> <li>Context is the Internet (all knowledge)</li> </ul>	
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### **Reading Comprehension**

Comprehend a passage of text and answer questions about its content



### Reading Comprehension (MCTest)

Comprehend a passage of text and answer questions about its content

Passage (P)

Question (Q)

-----

Α

Answer (A)

Alyssa got to the beach after a long trip. She's from Charlotte. She traveled from Atlanta. She's now in Miami. She went to Miami to visit some friends. But she wanted some time to herself at the beach, so she went there first. After going swimming and laying out, she went to her friend Ellen's house. Ellen greeted Alyssa and they both had some lemonade to drink. Alyssa called her friends Kristin and Rachel to meet at Ellen's house.

Why did Alyssa go to Miami?

+

To visit some friends

Q

# Reading Comprehension (MCTest)

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Why did Alyssa go to Miami?

+

```
A
```

To visit some friends

- ~3k questions from ~1k articles
- Multiple-choice questions
- Need for paraphrase, coreference resolution and dealing with many distractors

### Reading Comprehension (SQuAD)

Comprehend a passage of text and answer questions about its content

Passage (P)

Question (Q)

Answer (A)

In meteorology, precipitation is any product of the condensation of atmospheric water vapor that falls under gravity. The main forms of precipitation include drizzle, rain, sleet, snow, graupel and hail... Precipitation forms as smaller droplets coalesce via collision with other rain drops or ice crystals within a cloud. Short, intense periods of rain in scattered locations are called "showers".

Q Where do water droplets collide with ice crystals to form precipitation?

+



# Reading Comprehension (SQuAD)

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Where do water droplets collide with ice crystals to form precipitation?

+



- 100k annotated (passage, question, answer) triples
- Answer is a short segment of text (or span) in passage
- Questions are crowd-sourced, passages are from English Wikipedia, usually 100-150 words long

Q

# **Evaluating Reading Comprehension**

Passage (P)

Question (Q)

Α

Answer (A)

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Ρ

Where do water droplets collide with ice crystals to form precipitation?

+

Within a cloud Inside clouds Clouds



Collide inside clouds

- Exact match (EM): 0 or 1
- max{0, 0, 0} = 0

# **Evaluating Reading Comprehension**

Passage (P)

Question (Q)

Α

Answer (A)

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Ρ

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Within a cloud Inside clouds Clouds



Collide inside clouds

- F1: Partial credit
- max{0.33, 0.67, 0.33} = 0.67

#### Models for Reading Comprehension

Passage (P)+Question (Q)

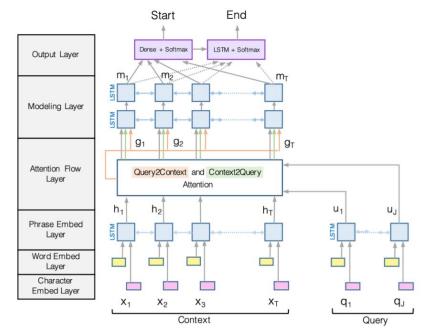
Answer (A)

Input:  $P = (p_1, p_2,..., p_N), Q = (q_1, q_2,...,q_M)$ Output: 0 < start < end < N+1 *N*~100, *M*~15 answer is a span in the passage

Passage (P)+Question (Q)

Answer (A)

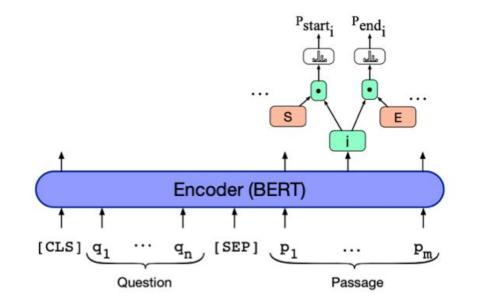
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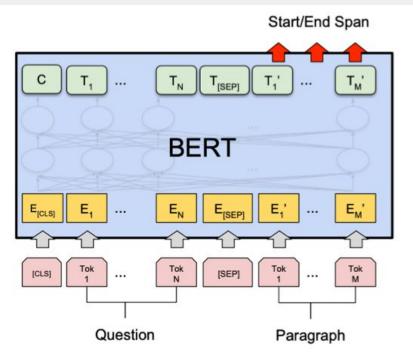


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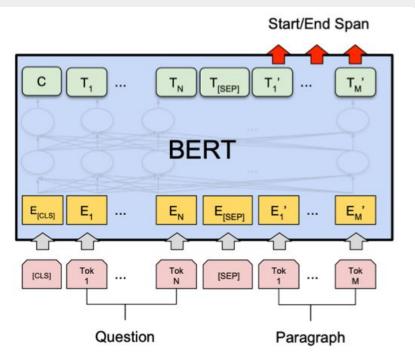
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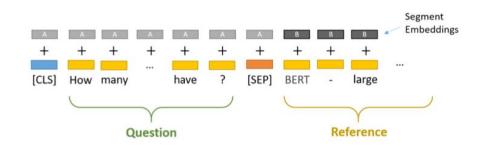


Question = Segment A Passage = Segment B Answer = predicting two endpoints in segment B

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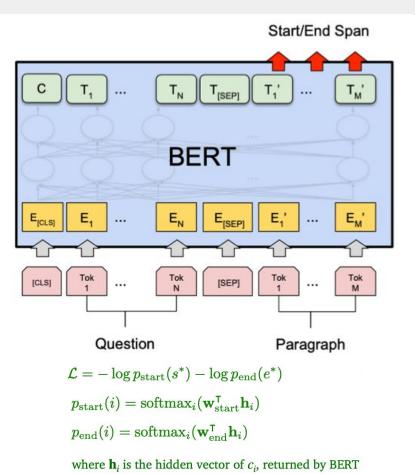


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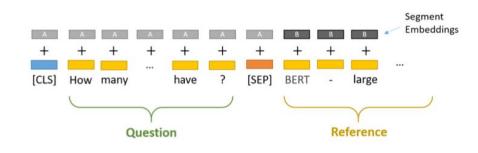


Question: How many parameters does BERT-large have?

Reference Text: BERT-large is really big... it has 24 layers and an embedding size of 1,024, for a total of 340M parameters! Altogether it is 1.34GB, so expect it to take a couple minutes to download to your Colab instance.



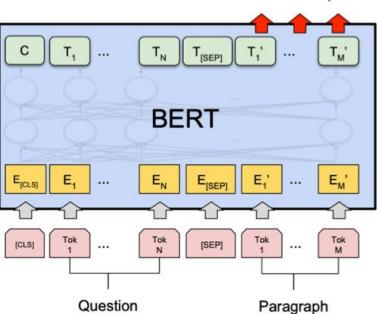
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Start/End Span

	F1	EM
Human performance	91.2*	82.3*
BiDAF	77.3	67.7
BERT-base	88.5	80.8
BERT-large	90.9	84.1
XLNet	94.5	89.0
RoBERTa	94.6	88.9
ALBERT	94.8	89.3

dev set, except for human performance

Questions that require long answers

**Question:** How do Jellyfish function without brains or nervous systems? [...] (60 words)

Answer: Jellyfish may not have a brain, but they have a rough nervous system and innate behaviours. However, they are very simple creatures. They're invertebrate: creatures without a backbone. Most jellyfish have really short life spans. Sometimes just a couple of hours. [...] As their name implies, they are largely composed of basically jelly inside a thin membrane. They're over 95% water. (327 words)

**Documents:** [...] Jellyfish do not have brains, and most barely have nervous systems. They have primitive nerve cells that help them orient themselves in the water and sense light and touch. [...] While they dont possess brains, the animals still have neurons that send all sorts of signals throughout their body. [...] They may accomplish this through the assistance of their nerve rings. Jellyfish don't have brains, and that's just where things begin. They don't have many of the body parts that are typical in other animals. [...] (1070 words)

ELI5 (Fan et al., 2019)

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Q: Where did Charles travel to first, Castile or Barcelona?

In 1517, the seventeen-year-old King sailed to Castile, where he was formally recognised as King of Castile. There, his Flemish court provoked much scandal, ... In May 1518, Charles traveled to Barcelona in Aragon, where he would remain for nearly two years. DROP (Dua et al., 2019)

ELI5 (Fan et al., 2019)

#### As of April 2025: Somewhat!

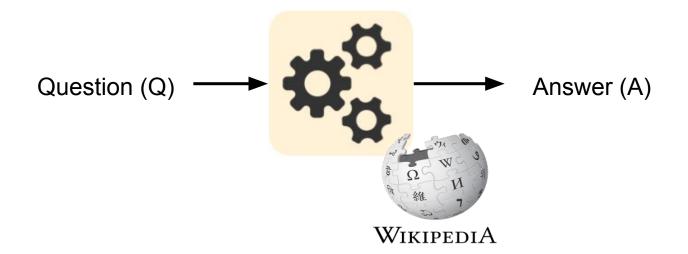
Setting CoQA DROP QuAC SQuADv2 RACE-h RACE-m **89.1**<sup>b</sup> **93.0**<sup>d</sup> Fine-tuned SOTA **90.7**<sup>*a*</sup> 74.4<sup>c</sup> 90.0<sup>e</sup> **93.1**<sup>e</sup> 41.5 59.5 45.5 GPT-3 Zero-Shot 81.5 23.6 58.4 GPT-3 One-Shot 34.3 65.4 45.9 84.0 43.3 57.4 GPT-3 Few-Shot 85.0 36.5 44.369.8 46.8 58.1

#### (2023;older work)

#### Areas in Question Answering

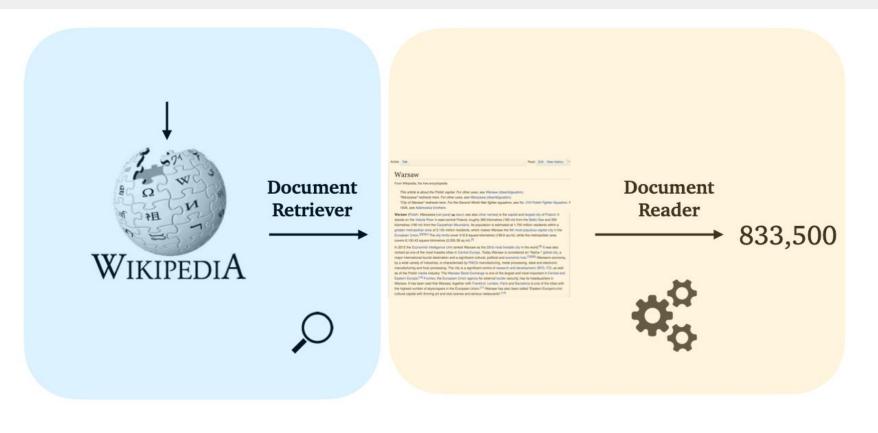
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### **Open-Domain Question Answering**



- No given passage, just a large collection of documents (e.g., Wikipedia)
- No idea where answer is located
- Have to answer any open-domain questions
- Very challenging, but more practical

# **Open-Domain Question Answering**



#### **Retriever-Reader Framework**

Input:  $D = (D_1, D_2, ..., D_N), Q$ 

Output: an answer string A

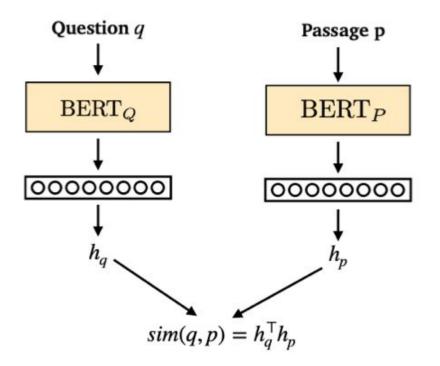
Retriever:  $f(D, Q) \rightarrow (P_1, P_2, ..., P_K)$ 

Reader:  $g(Q, \{P_1, P_2, ..., P_K\}) \rightarrow A$ 

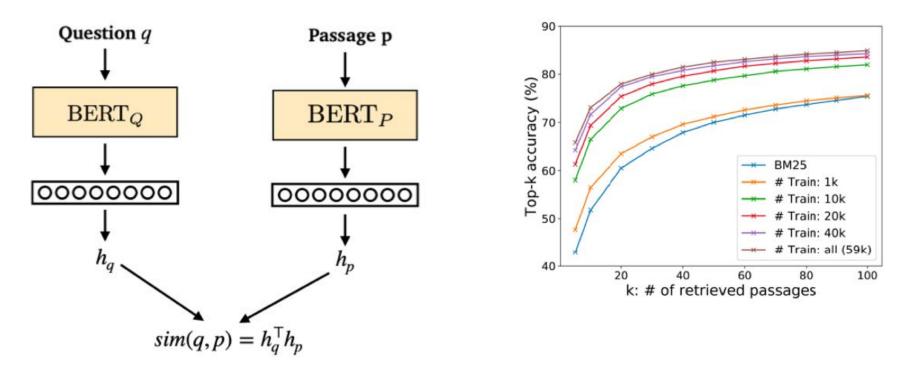
*D*: large collection of documents

K is pre-defined

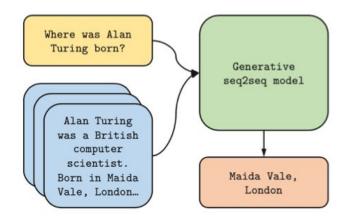
## **Dense Passage Retrieval**



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## **Dense Retrieval + Generative Models**

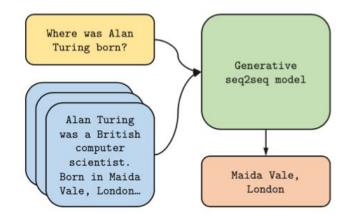


Fusion-in-decoder (FID)

DPR + T5

Izacard and Grave, 2020.

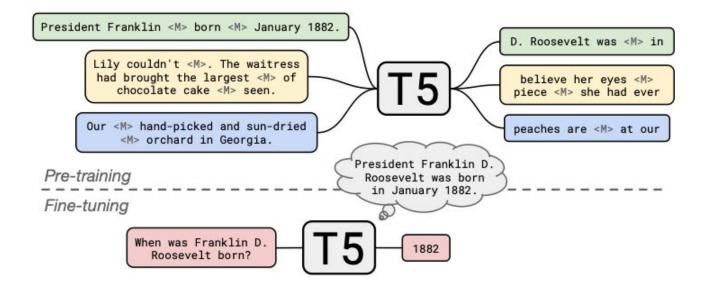
# **Dense Retrieval + Generative Models**



Model	NaturalQuestions	Trivi	aQA
ORQA (Lee et al., 2019)	31.3	45.1	-
REALM (Guu et al., 2020)	38.2	-	-
DPR (Karpukhin et al., 2020)	41.5	57.9	-
SpanSeqGen (Min et al., 2020)	42.5	-	-
RAG (Lewis et al., 2020)	44.5	56.1	68.0
T5 (Roberts et al., 2020)	36.6	-	60.5
GPT-3 few shot (Brown et al., 2020)	29.9	-	71.2
Fusion-in-Decoder (base)	48.2	65.0	77.1
Fusion-in-Decoder (large)	51.4	67.6	80.1

Fusion-in-decoder (FID)

## Generative Models for Open-Domain QA

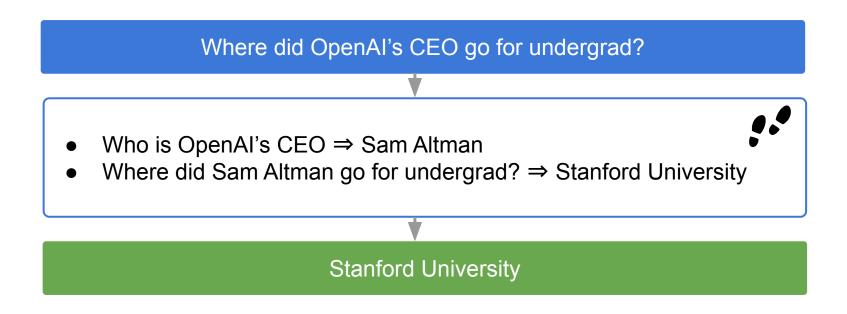


# Multi-Step Questions Answering

Questions to answer which we need multiple steps of reasoning.

# What are Multi-Step Questions

Questions to answer which we need multiple steps of reasoning.



Many of our day-to-day information needs require multi-step reasoning.

Many of our day-to-day information needs require multi-step reasoning.

Which vegetarian restaurants near me are open if I've a peanut allergy?

- Find a list of open restaurants near me.
- Select the ones which have vegetarian options in the menu.
- Select the ones which have peanut-free options in the menu.

Many of our day-to-day information needs require multi-step reasoning.

Can I finish GOT Season 7 if I've 10 hours this weekend?	
--	--

- Get a list of episodes and duration of GOT from season 7.
- Sum the time duration of GOT for all the episodes.
- Check if the total duration is less than 10 hours.

To satisfy such information needs, we need models that perform multi-step reasoning.

# Chain-of-thought (CoT) Prompting

#### Standard Prompting

#### Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

A: The answer is 27.

#### **Chain-of-Thought Prompting**

#### Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

A: The cafeteria had 23 apples originally. They used 20 to make lunch. So they had 23 - 20 = 3. They bought 6 more apples, so they have 3 + 6 = 9. The answer is 9.

#### Wei et al. (2022)

### Zero-shot Chain-of-thought Prompting

#### (a) Few-shot

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A:

(Output) The answer is 8. X

#### (c) Zero-shot

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A: The answer (arabic numerals) is

(Output) 8 X

#### (b) Few-shot-CoT

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. 5 + 6 = 11. The answer is 11.

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

A:

(Output) The juggler can juggle 16 balls. Half of the balls are golf balls. So there are 16 / 2 = 8 golf balls. Half of the golf balls are blue. So there are 8 / 2 = 4 blue golf balls. The answer is 4.

#### (d) Zero-shot-CoT (Ours)

Q: A juggler can juggle 16 balls. Half of the balls are golf balls, and half of the golf balls are blue. How many blue golf balls are there?

#### A: Let's think step by step.

**(Output)** There are 16 balls in total. Half of the balls are golf balls. That means that there are 8 golf balls. Half of the golf balls are blue. That means that there are 4 blue golf balls.

#### Kojima et al. (2022)

#### What are the Challenges of Multi-Step QA?

Reading Comprehension QA

**Reading Comprehension QA** 

**Open-Domain QA** 

**Reading Comprehension QA** 

?

Where did OpenAI's CEO go for undergrad?

**Reading Comprehension QA** 

**Open-Domain QA** 

**Reading Comprehension QA** 

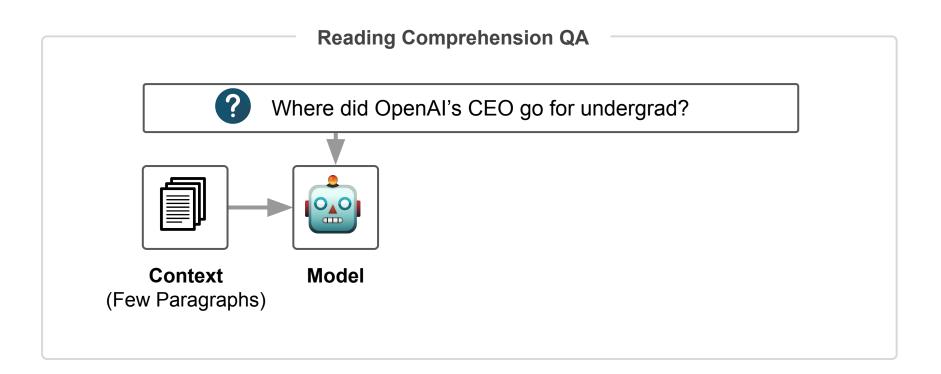
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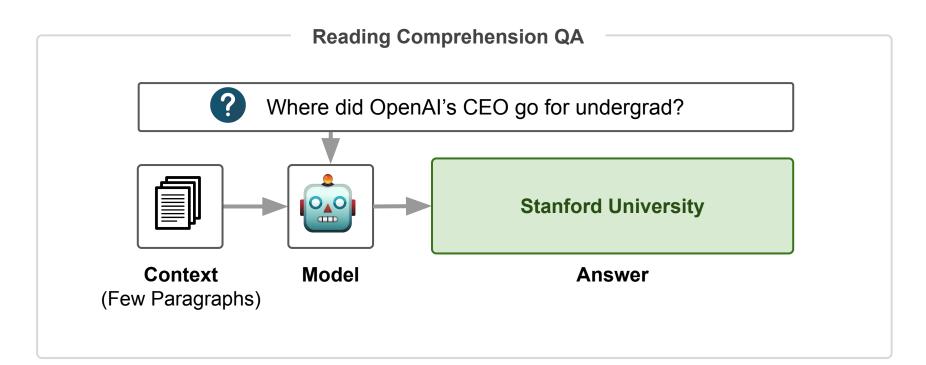
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**Context** (Few Paragraphs)

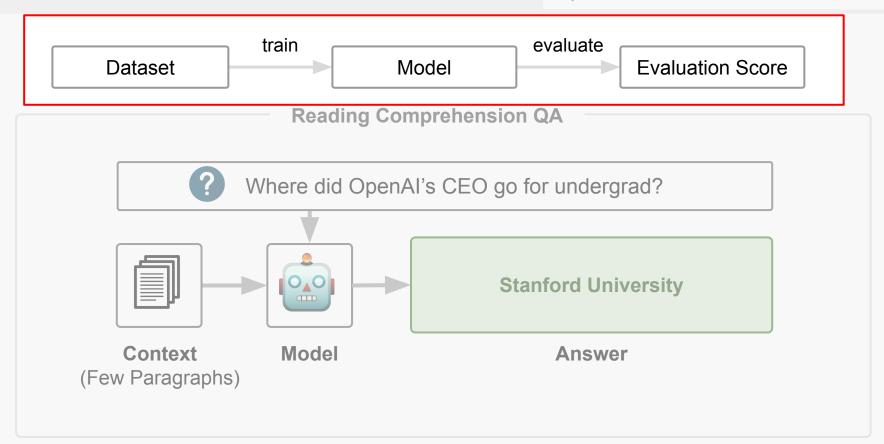
**Reading Comprehension QA** 



**Reading Comprehension QA** 

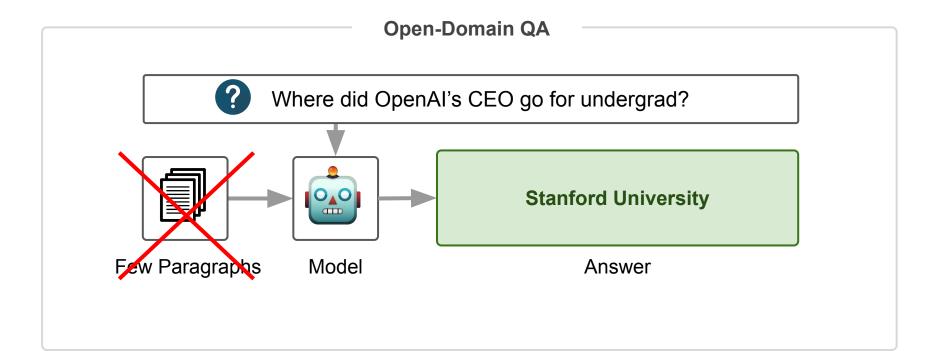


#### **Reading Comprehension QA**

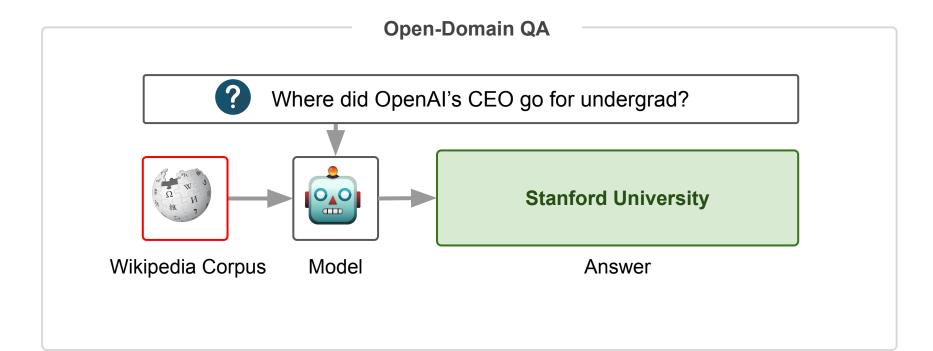


**Reading Comprehension QA** 

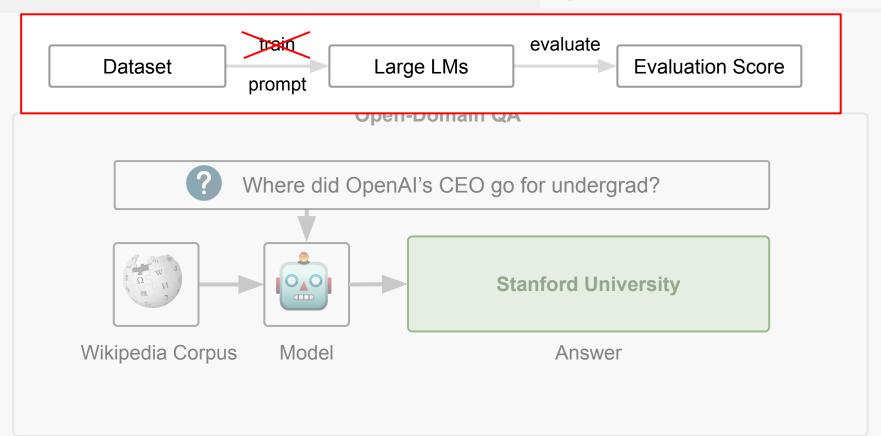
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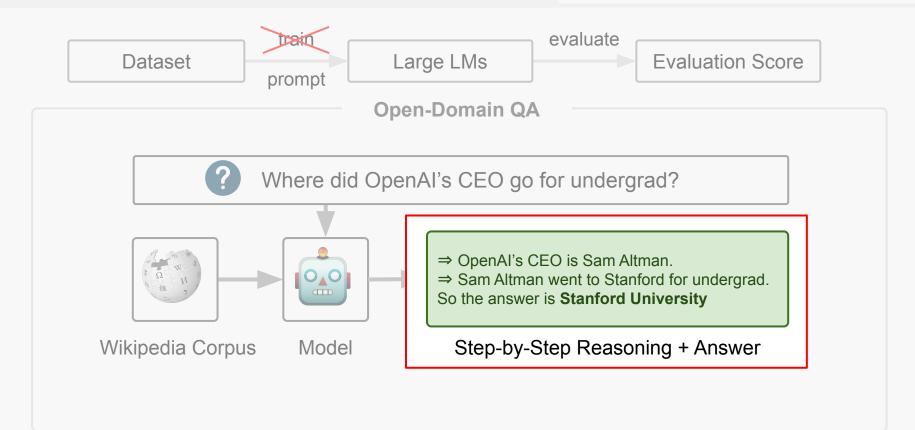
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#### **Reading Comprehension QA**



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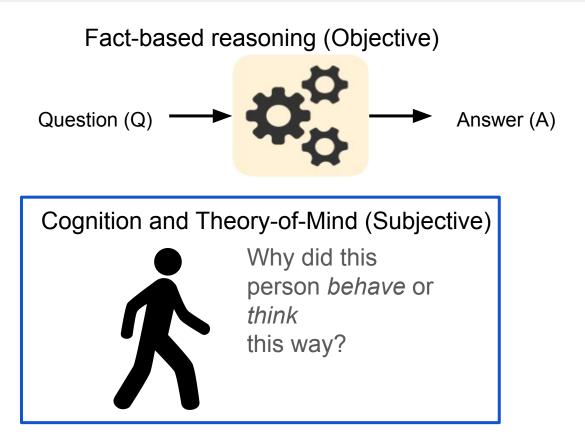


#### State of Few-Shot Multi-Step Open-Domain QA

Model	HpQA <sup>Br</sup>	HpQA	2WikiMQA	MQ <sup>2H</sup>	
InterAug	-   -	30.3   -	-   -	-   -	
ReAct	— I —	35.1   -	-   -	— I —	
SelfAsk	— I —	— I —	40.1   -	15.2   -	EM F1
DecomP	- I 50.0	— I —	- I <b>59.3</b>	— I —	
IRCoT QA	45.8   58.5	49.3   60.7	57.7   68.0	34.2   43.8	J

- $\Rightarrow$  InterAug: (Internet-augmented LMs through few-shot prompting for ODQA) Lazaridou et. al.
- ⇒ ReAct: (ReAct: Synergizing Reasoning and Acting in Language Models) Yao et. al
- ⇒ SelfAsk: (Measuring and Narrowing the Compositionality Gap in Language Models) Press et. al.
- ⇒ DecomP: (Decomposed Prompting: A Modular Approach for Solving Complex Tasks) Khot et. al.

# **Reasoning Capabilities of LLMs**



#### Social-intelligence (Subjective)

It's getting really cold. Any have your blanket?         Immm, but I am cold an this blanket more	<u>ٿ</u>
this blanket more	d I think I need
	2
Attell and us about the bill	(
	anket then? It
could make both of us w	armer:
I am not really comfortab that close to you, sorry.	le with staying
that close to you, sorry.	Č
I see, I guess in that case more clothes then	l will just layer
Thore clothes then S	
Put more clothes on and from William. (Interaction	
SOTOPIA-EVAL	
SOTOPIA-EVAL	

#### **Theory-of-Mind**

Sean puts the book in the box and leaves to get something to eat in the kitchen. While he is away, Anna moves the book from the box to the basket. Sean comes back into the room and wants to read more of his book.

Q: Sean thinks the book is in the \_\_\_\_.

#### **Theory-of-Mind**

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Q: Sean thinks the book is in the \_\_\_\_. box 🗸 other 🗙

#### What is Theory-of-Mind?

- Theory of mind is the ability to understand the *thoughts*, *beliefs*, *desires*, and *emotions* of other people.
- In this case, it is the ability of models to understand human beliefs, cognition, emotions and behaviors.

#### Benchmarks for Theory-of-Mind

#### False Belief (Wimmer & Perner, 1983)

Free-text

Sean puts the book in the box and leaves to get something to eat in the kitchen. While he is away, Anna moves the book from the box to the basket. Sean comes back into the room and wants to read more of his book.

Q: Sean thinks the book is in the \_\_\_\_. box 🗸 other 🗙

Short Stories (Dodell-Feder et al., 2013)

Manual Scoring

[*The End of Something* by Ernest Hemingway]

Q: Why does Nick say to Marjorie, "You know everything"?

He's being sarcastic to provoke a fight

He thinks Marjorie is a know-it-all X

Indirect Request (Trott & Bergen, 2020)

2AFC

You and Jonathan both notice a blinking light, which indicates that the car's heating system is broken... Jonathan shivers in his seat. He turns to you and says, "Man, it's really cold in here."

Q: Do you think he is making a request? No 🗸 Yes 🗙

[Story containing recursively embedded mental states] Q: Which continuation is consistent with the story? A) John thinks Sheila hasn't realised that he likes her. B) John thinks Sheila has realised that he likes her.

Recursive Mindreading (O'Grady et al., 2015)

Strange Stories (Happé, 1994)



2AFC

Peter thinks Aunt Jane's hat is very ugly indeed. But when Aunt Jane asks Peter, "How do you like my new hat?", Peter says, "Oh, its very nice".

Q: Why does Peter say that?

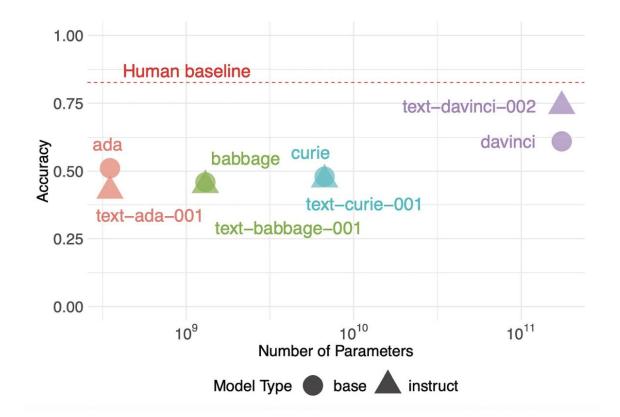
He's lying to spare her feelings  $\checkmark$  Because he's nice  $\times$ 

Scalar Implicature (Goodman & Stuhlmüller, 2013) Bet

David ordered 3 pizzas which almost always have cheese in the crust. David tells you: "I have looked at 3 of the 3 pizzas. Some of the pizzas have cheese in the crust."

Q: How many pizzas do you think have cheese in the crust Bet \$100 across 4 options (0,1,2,3)  $p(3) \downarrow \checkmark other \times$ 

#### Performance of recent LLMs



Trott et al. (2023).

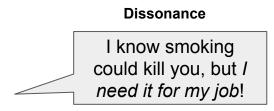
#### Cognitive Styles – or Thinking Patterns

## Surface-form of Language or "What They Say"

• Simple, lexical models can pick these signals up.



## Cognitive Styles or "How They Think"

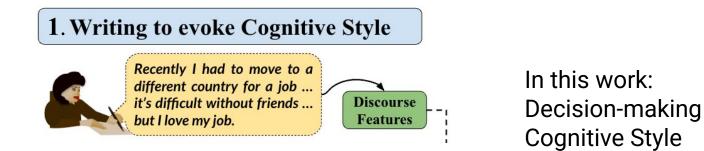


#### Catastrophizing

My friends went to a party, they forgot to invite me. *They probably hate me*. :(

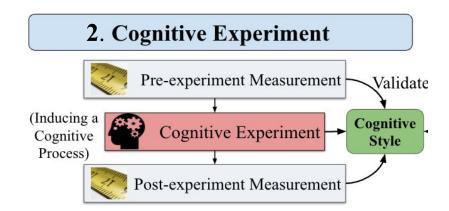
- Modern LLMs are able to pick this up to some extent.
- These expressions reveal cognitive processes.
- **Deep Semantic Modeling** can capture these complex relationships more explicitly.

### Cognitive Styles: An Experimental Validation



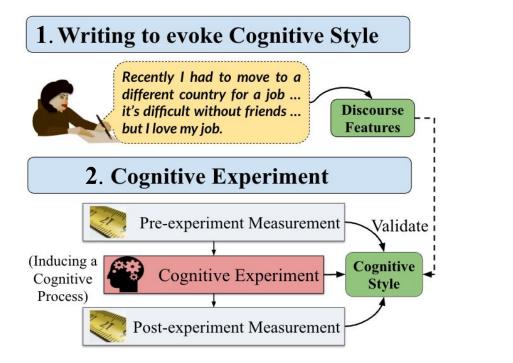
Capturing Human Cognitive Styles with Language: Towards an Experimental Evaluation Paradigm (Varadarajan et al., NAACL 2025)

### Cognitive Styles: An Experimental Validation



In this work: Decision-making Cognitive Style

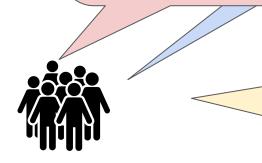
### **Cognitive Styles: An Experimental Validation**



In this work: Decision-making Cognitive Style

### 1. Writing Task: Describe a recent decision

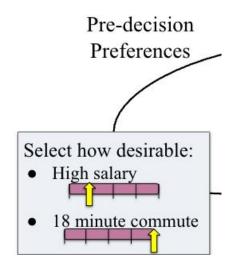
Staying in a relationship with my partner, despite him being controlling. ... becomes super passive aggresive and tells me how thats selfish ... and he loves my family,... im not ready to let him go... My mom was in a serious car accident and I was her healthcare proxy so I needed to make decisions regarding her health while in the ICU. The most difficult decision ... to put her in a medically induced coma ... The risks involved were definitely severe ... my mom would most likely be okay ...



I had to spend less time with my best friends...The amount of time I spent on the mobile and desktop app Discord needed to decrease ...

### 2. Cognitive Experiment: Experimental Job Offer Scenario

Preference change

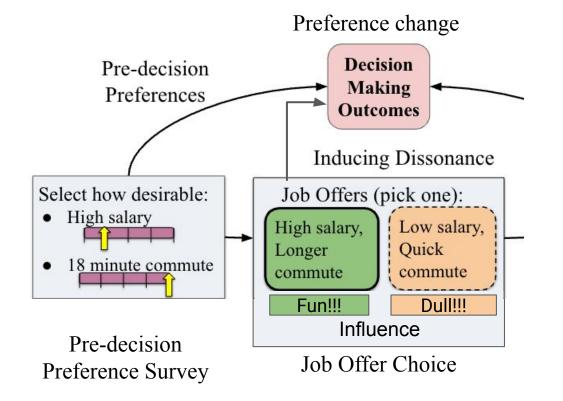


Pre-decision Preference Survey

Simon, Dan, Daniel C. Krawczyk, and Keith J. Holyoak. "Construction of preferences by constraint satisfaction." Psychological Science 15.5 (2004): 331-336.

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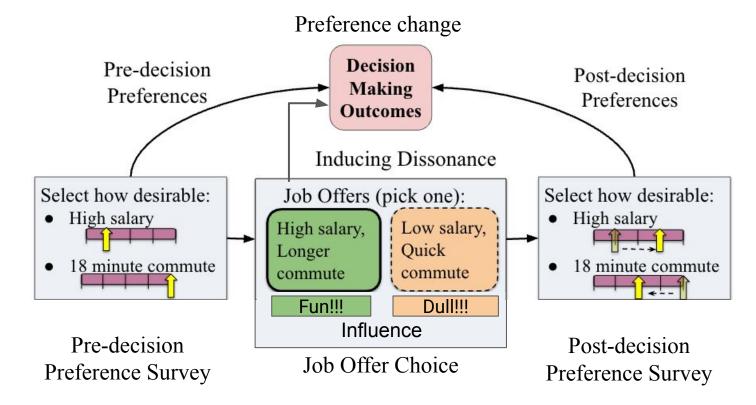
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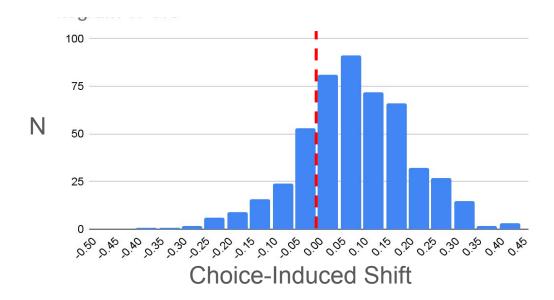
2. Cognitive Experiment: Experimental Job Offer Scenario



Simon, Dan, Daniel C. Krawczyk, and Keith J. Holyoak. "Construction of preferences by constraint satisfaction." Psychological Science 15.5 (2004): 331-336.

### Choice-Induced Shifts (CIS) aka Preference Change

- People exhibit positive shifts
  - o generally adjust preference towards justifying their choice



### Results

Baselines	AUC	Discourse feats	AUC	k
Random	0.50	Causal	0.81	1
Llama3.1 (0-sh)	0.56	Counterfactual	0.80	1
Gemma (0-sh)	0.56	Consonance	0.81	1
Llama3.1 (4-sh)	0.64	Dissonance	0.80	1
Gemma (4-sh)	0.79	DiscRE (full)	0.76	845
RoBERTa-L23	0.69	DiscRE (16-D)	0.79	16

→ Discourse can predict actual changes in cognitive states.

Capturing Human Cognitive Styles with Language: Towards an Experimental Evaluation Paradigm (Varadarajan et al., NAACL 2025)

# Supplement

# **Answering Why-Questions**

Matt and Sarah were pregnant.

They wanted to announce it in a fun way.

They wrote it on a cake.

They invited their friends over.

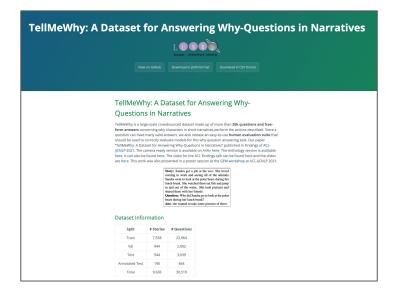
When their friends saw the cake, they were excited.

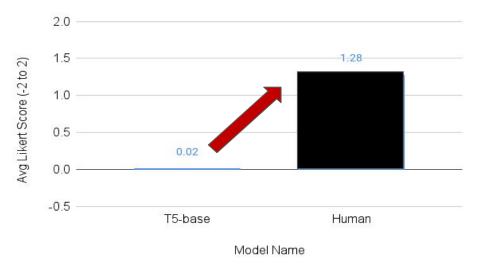
why, why would you do that?

Why were Matt and Sarah pregnant?

Knowing why is important for reasoning about events

# **Answering Why-Questions**





# Using Commonsense to Answer Why-Questions

Matt and Sarah were pregnant.

They wanted to announce it in a fun way.

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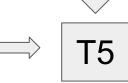
They invited their friends over.

When their friends saw the cake, they were excited.

### Q: Why were Matt and Sarah pregnant?

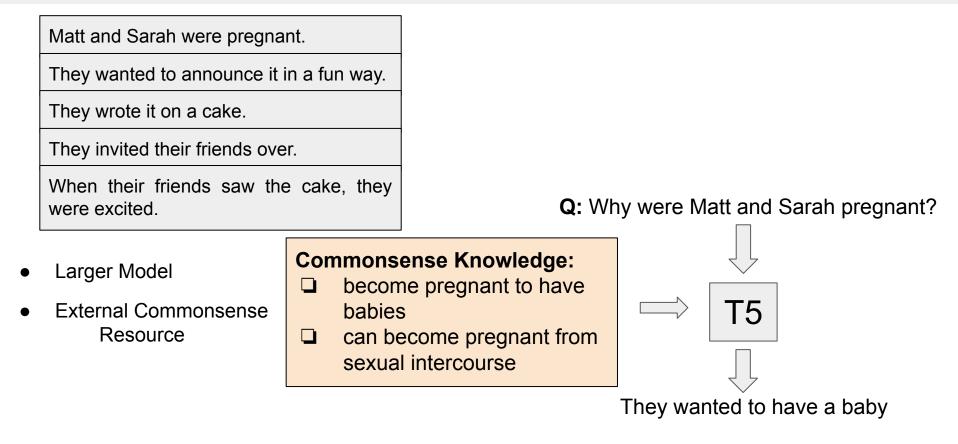
### Commonsense Knowledge:

- become pregnant to have babies
- can become pregnant from sexual intercourse

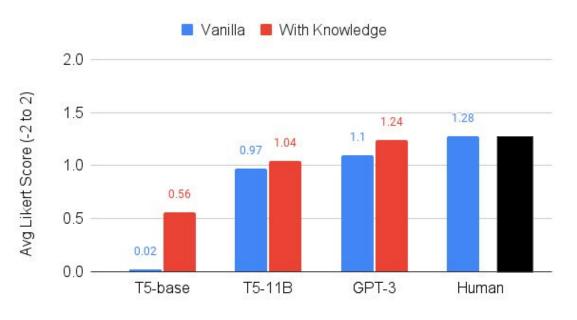


They wanted to have a baby

# Using Commonsense to Answer Why-Questions



## How Good are Models?



Model Name